## This Page Is Inserted by IFW Operations and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

### IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

## THIS PAGE BLANK (USPTO)

(1) Publication number:

0411118A1

(12)

# EUROPEAN PATENT APPLICATION published in accordance with Art. 158(3) EPC

(21) Application number: 88902924.5

(f) Int. Cl.5: A61M 25/00, A61M 29/00

(2) Date of filing: 25.03.88

International application number: PCT/JP88/00306

(b) International publication number: WO 88/07390 (06.10.88 88/22)

3 Priority: 25.03.87 JP 70781/87

43 Date of publication of application: 06,02.91 Bulletin 91/06

Designated Contracting States:
BE DE FR GB IT NL SE

Applicant: TERUMO KABUSHIKI KAISHA No. 44-1, Hatagaya 2-chome, Shibuya-ku Tokyo 151(JP)

Inventor: HARADA, Fumiaki Terumo Kabushiki Kaisha 2656-1, Ohbuchi Fuji-shi Shizuoka-ken 417(JP)

Inventor: SAGAE, Kyuta

Terumo Kabushiki Kaisha 2656-1, Ohbuchi

Fuji-shi Shizuoka-ken 417(JP) Inventor: NOBUYOSHI, Masakiyo 3-2-17, Yamate Kokuraminami-ku Kitakyushu-shi Fukuoka-ken 803(JP)

Representative: Casalonga, Alain et al BUREAU D.A. CASALONGA - JOSSE Morassistrasse 8
D-8000 München 5(DE)

- (S) INSTRUMENT FOR SECURING INNER DIAMETER OF INNER CAVITY OF TUBULAR ORGAN AND CATHETER EQUIPPED WITH THE INSTRUMENT.
- This invention discloses an instrument for securing an inner diameter which expands the inner cavity of a tubular organ such as the blood vessel and keeps the expanded state at least for a predetermined period, and a catheter which is used for keeping this instrument at a predetermined portion of the tubular organ and can move and withdraw the instrument from the kept position. The instrument for securing the inner diameter consists of a bidirectional shape memory alloy and can expand and contract in a radial direction with a temperature change. At least one side hole is formed in the peripheral surface near the tip of the catheter, and the catheter supports removably the instrument for securing the inner diameter at this side hole portion.

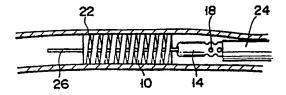


FIG. 9

EP 0 411 118 A1

## INSTRUMENT FOR MAINTAINING INNER DIAMETER OF TUBULAR ORGANS AND CATHETER PROVIDED WITH SAID INSTRUMENT

35

45

50

[T chnical Field]

The present invention relates to an expansion retainer for ensuring a desired inner diameter of a tubular organ and to a catheter for introducing or recov ring the expansion retainer. [Prior Art]

Where a narrowed portion of, for example, the coronary arteries is expanded with an angioplasty catheter, it is necessary to take measures for preventing the expanded portion from being narrowed again. In such a case, an expansion retainer, hereinafter referred to as "stent", is generally used for ensuring a desired inner diameter of a tubular organ.

A stent prepared by weaving a stainless steel wire in the form of a net is proposed in "Surgery, 1986, vol. 99, No. 2, pp-199-205". Another stent formed of a one-way shape memory alloy is proposed in Published Examined Japanese Patent Application No. 61-6655. For ensuring expansion of, for example, a blood vessel by using a stent formed of stainless steel, the stent is introduced into a predetermined position of the blood vessel through an angioplasty catheter. Then, a balloon disposed at the distal end portion of the catheter is expanded so as to expand the stent to have a diameter conforming with the inner diameter of the blood vessel. In the case of using a stent formed of a one-way shape memory alloy, the stent introduced into a predetermined position of a blood vessel is heated by, for example, warm water so as to expand the stent.

The stent once expanded cannot be deformed unless an external force is applied thereto. Thus, it is impossible to take out the stent left in the blood vessel even after recovery of the body part to which the surgical operation was applied. Naturally, it is of high importance for the stent to be compatible with a living body. What should also be noted with respect to the prior art is that, even if the stent is found to have been left in an erroneous position, it is very difficult to change the position of the stent once expanded.

The present invention, which has been achieved in view of the situation described above, is intended to provide a stent for a tubular organ, which can be freely brought back to the small original shape ev n after expanded within a tubular organ, thus making it possible to recover the stent once introduced into the tubular organ, and to change freely the position of the stent once expanded within the tubular organ, and to provide a catheter for operating the stent.

#### Disclosure of the Invention

To solve the above-noted problems inherent in the prior art, a stent of the present invention is formed of a two-way shape memory alloy. Also, the stent is mounted at the distal end of a catheter having a side hole. Cooling water supplied through the side hole is brought into contact with the stent, as desired, so as to control the shrinkage and expansion of the stent, as desired.

According to one embodiment of the present invention, there is provided a stent for a tubular organ, characterized in that the stent consists of a substantially cylindrical shaped body formed of a two-way shape memory alloy capable of expansion or shrinkage in the radial direction in accordance with changes in temperature.

It is desirable for the cylindrical body of the two-way shape memory alloy to be in the expanded state about the body temperature and in the shrunk state at a temperature substantially lower the body temperature.

According to another embodiment of the present invention, there is provided a catheter equipped with a stent for a tubular organ, characterized by comprising a catheter tube open at the proximal end or both proximal and distal ends, at least one side hole, which communicates with said opening, being formed at the circumferential surface near the distal end of said catheter tube; a hub portion mounted to communicate with the distal end of the catheter tube; and a stent for ensuring a desired inner diameter of a tubular organ, said stent consisting of a cylindrical body formed of a two-way shape memory alloy, being capable of expansion or shrinkage in the radial direction in accordance with changes in temperature, and being mounted to cover at least a part of the distal end portion of the catheter tube including the side hole.

It is desirable for the hub portion to be formed of a branched hub having two ports, with a hemostatic valve being mounted to one of said ports.

The shape memory alloy used in the present invention has a transformation temperature above which the alloy is deformed into a shape memorized in advance. In the two-way shape memory alloy, the alloy can be freely deformed for the memory purpose at a temperature lower than the transformation temperature. The shape thus memorized is exhibited at a temperature higher than the transformation temperature. It should be noted that the alloy continues to keep its shape even after the temperature is lowered below the transformation



temperature. On the other hand, the two-way shape memory alloy used in the present invention also permits memorizing the shape at a temperature lower than the transformation temperature, with the result that two different shapes can be reversibly exhibited with a boundary set by the transformation temperature. The term "substantially cylindrical shaped body" used in the present specification should be interpreted to include, for example, a cylindrical body having a spiral cross section and a coil-shaped cylindrical body as well as the ordinary cylindrical body.

#### Brief Description of the Drawings

Figs. 1(a) and 1(b) are side views each showing a stent for a tubular organ according to one embodiment of the present invention;

Figs. 2(a), 2(b), 3(a), 3(b), 4(a) and 4(b) are oblique views showing stents according to other embodiments of the present invention, (a) and (b) showing shrunk and expanded states, respectively;

Fig. 5 is a side view showing a catheter used for operating a stent of the present invention;

Fig. 6 is a cross sectional view showing in a magnified fashion the hub portion of the catheter shown in Fig. 5;

Fig. 7 is a side view showing how the stent shown in Fig. 1 is mounted to the catheter shown in Fig. 5; and

Figs. 8 and 9 are cross sectional views showing how the catheter shown in Fig. 7 is operated for leaving a stent mounted within a tubular organ.

#### Best mode for carrying out the Invention

Figs. 1(a) and 1(b) collectively show a stent 10 for a tubular organ according to one embodiment of the present invention. The stent 10, which is formed by spirally winding a flat wire of a bidirectional shape memory alloy such as Ni-Ti binary alloy, Cu-At-Ni ternary alloy or Cu-Zn-At ternary alloy, keeps a shape expanded in the radial direction as shown in Fig. 1(b) at temperatures about the body temperature, i.e., at about 35 to 37°C, and keeps a shape shrunk in the radial direction as shown in Fig. 1(a) at temperatures substantially lower than the body temperature, i.e., at about 15 to 20°C. Where the flat wire is 0.04 mm in thickness and 1 mm in width and consists of a Ni-Ti binary alloy two-way shape memory alloy containing essentially about 51 atomic % of Ni and the balance substantially Ti, the stent 10, which is about 2 mm in inner diameter at the body temperature, can be shrunk to have an inner diameter of about 1.4 mm at 15°C or lower. The inner diameter, length, etc. of the stent 10 can be determined appropriately to conform with the inner size of the tubular organ within which the stent 10 is mounted. In short, the stent under an expanded state should have an outer diameter substantially conforming with the inner diameter of a tubular organ such as a blood vessel, and the stent under a shrunk state should have an outer diameter small enough to permit the stent to be introduced into the desired position within the tubular organ.

The shape of the stent 10 is not restricted to a spiral shape shown in Fig. 1, as far as the stent is substantially cylindrical. For example, the stent may have a spiral cross section as shown in Fig. 2-(a) under a shrunk state and is cylindrical as shown in Fig. 2(b) under an expanded state. Also, a net of a two-way shape memory alloy wire may be formed into a cylindrical body as shown in Fig. 3. In this case, the mesh of the net is expanded from the shrunk state shown in Fig. 3(a) into the expanded state shown in Fig. 3(b) when the stent 10 is expanded in the radial direction. Further, the stent 10 may consist of a pipe as shown in Fig. 4. Naturally, the pipe under a shrunk state shown in Fig. 4(a) is expanded as shown in Fig. 4(b) depending on the temperature.

A catheter 12 shown in Fig. 5 is used for introducing the stent 10 into a desired position within a tubular organ of a living body. The catheter 12 comprises a catheter tube 14 open at both ends and a hub portion 16 mounted at the proximal end of the catheter tube 14 in a manner to communicate with the inner space of the catheter tube. The catheter tube 14 is formed of, for example, ethylene-vinyl acetate copolymer. On the other hand, the hub portion 16 is formed of, for example, polycarbonate. A number of side holes 18 are formed through the wall near the distal end of the catheter tube 14 such that a cooling liquid introduced into the catheter tube 14 is discharged radially through these side holes. The hub portion 16 comprises a linear cylindrical portion 16a through which a guide wire is introduced into the catheter tube 14, and a branched portion 16b branched at the central portion of the linear cylindrical portion 16a, as shown in Fig. 6. A hemostatic valve 20 formed of a soft material such as a silicone rubber is mounted at the proximal end of the linear cylindrical portion 16a so as to prevent the leakage of, for example, blood. A cooling liquid or the like is introduced through a port 16c of the branched portion 16b.

In introducing the stent 10 into a desired portion within a tubular organ, the stent 10 is mounted first at the distal end portion of the catheter tube 14 in a manner to cover the side holes 18. Then, a cooling liquid, e.g., an ice-cooled physiologic saline, is introduc d through the port 16c and dis-

In the next step, the catheter tube 14 is introduced into a desired position within a tubular organ 22 through a guide catheter 24 introduced in advance into the tubular organ 22, as shown in Fig. 8. In this step, the ice-cooled physiologic saline is kept flowing out of the side holes 18. Also, a guide wire 26 is used for the introduction of the catheter tube 14 into the tubular organ 22. When the stent 10 mounted at the distal end portion of the catheter tube 14 has arrived at the desired position within the tubular organ 22, supply of the ice-cooled physiologic saline is stopped. As a result, the stent 10 is gradually warmed by the heat of the living body. When warmed to a temperature near the body temperature, the stent 10 is expanded to be directly contacted with the inner wall of the tubular organ 22, as shown in Fig. 9. Under this condition, the distal end portion of the catheter tube 14 can b easily withdrawn, with the expanded stent 10 left at the desired position within the tubular organ

Where the stent 10 is recovered from within the tubular organ 22, the distal end portion of the catheter tube 14 is inserted into the stent 10, and an ice-cooled physiologic saline introduced through the port 16c is discharged through the side holes 18 formed at the distal end portion of the catheter tube 14. As a result, the stent 10 is cooled and shrunk to be directly contacted with the distal end portion of the catheter tube 14 as shown in Fig. 7. Naturally, the stent 10 can be recovered by pulling out the catheter tube 14. Similarly, the mounting position of the stent 10 within the tubular organ can be easily changed in the present invention.

It is possible to determine appropriately the number of side holes 18 formed at the distal end portion of the catheter tube 14 as well as the holeforming region in view of the size of the stent, etc.

As described above in detail, the stent of the present invention is in the form of a cylindrical body of a two-way shape memory alloy which is expanded or shrunk depending on changes in temperature of the alloy on the basis of the normal body temperature. The particular construction of the invention produces prominent effects. For example, it is possible to recover the stent once expanded within a tubular organ. It is also possible to change the mounting position of the stent once mounted within a tubular organ. Further, the catheter of the present invention for operating the stent comprises side holes formed at the distal end portion. Naturally, the expanded stent mounted at the distal end portion of the catheter can be cooled

by a cooling liquid discharged through the side holes so as to shrink the stent. It follows that the catheter makes it possible to introduce and recover the stent without difficulty.

#### Industrial Application

The instrument of the present invention for ensuring the inner diameter and the catheter equipped with the instrument are inserted into a tubular organ such as a blood vessel of the human being or animals so as to keep the tubular organ expanded to have a desired inner diameter for at least a predetermined period.

#### **Claims**

20

25

30

40

45

50

55

- 1. (1) An instrument for maintaining a tubular organ at a predetermined inner diameter, characterized in that said instrument consists of a substantially cylindrical shaped body formed of a two-way shape memory alloy capable of expansion or shrinkage in the radial direction in accordance with changes in temperature.
- 2. (2) The instrument according to claim 1, wherein said cylindrical body expands in the radial direction at and around normal body temperature and shrinks in the radial direction at temperatures substantially lower than the body temperature.
- (3) The instrument according to claim 1, wherein said cylindrical body is in the form of a hollow coil.
- (4) The instrument according to claim 1, wherein said cylindrical body has a spiral cylindrical shape.
- 5. (5) The instrument according to claim 1, wherein said cylindrical body is in the form of a pipe.
- (6) The instrument according to claim 1, wherein said cylindrical body has a mesh structure.
- 7. (7) The instrument according to claim 1, wherein said two-way shape memory alloy is selected from the group consisting of alloys of Ni-Ti binary alloy, Cu-At-Ni ternary alloy and Cu-Zn-At ternary alloy.
- (8) A catheter equipped with an instrument for maintaining a tubular organ at a predetermined

 (9) The catheter according to claim 8, wherein said hub portion consists of a branched hub having two ports, and a hemostatic valve located inside one of said two ports.

COMPANIES.

5

10

15

20

25

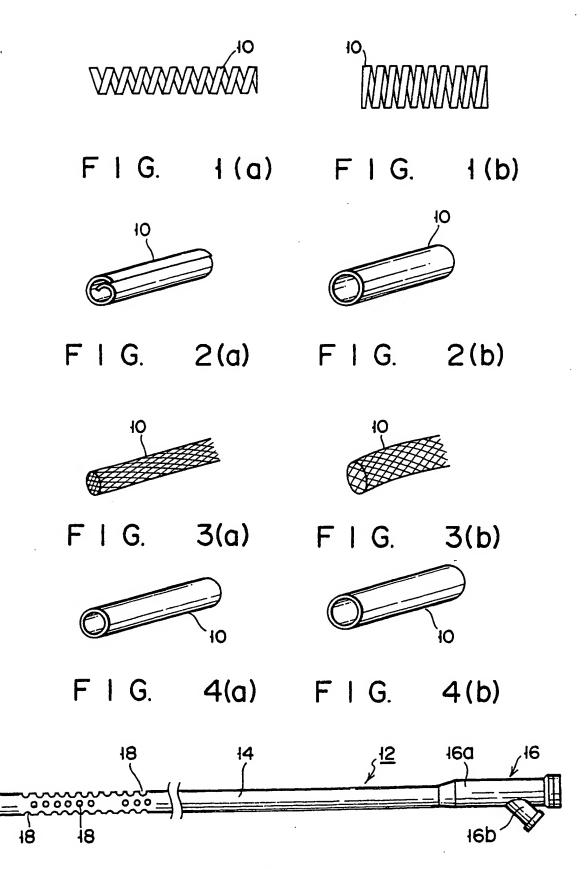
30

35

40

45

50



F I G. 5

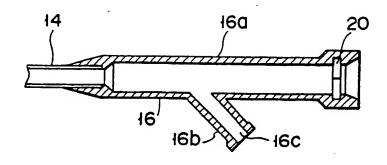
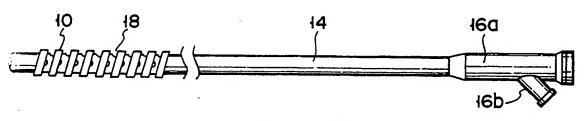


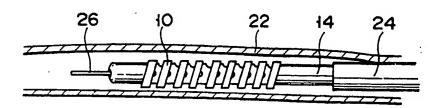
FIG. 6



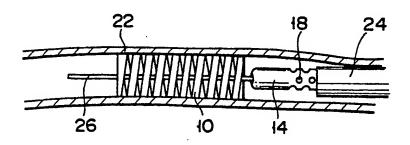
F I G. 7

TO CANADA SANTA SA

SANGE OF STREET



F I G. 8



F I G. 9

### INTERNATIONAL SEARCH REPORT

International Application No

PCT/JP88/00306

	F SUBJECT MATTER  I Patent Classification (IP					,		
Int.Cl4	A61M25/00,							
. FIELDS SEARCHED		linimum Documen	tation Search	ad :		<u> </u>		
assification System			Classification					
assincadon System			51233					
IPC	A61M25/00,	A61M29/0	00, A6	1817	/00			
		Searched other the such Documents				ed s		
I DOCUMENTS CO	ISIDERED TO BE REL	EVANT '						
	of Document, 11 with indi		opriate, of th	e relevan	t passages	i. ! R	lelevant t	o Claim No. :1
4 Jui Page page	A, 57-89859 ne 1982 (04. 1, column 1 3, column 1 nn 2, line 6	06. 82) , lines 5 , line 3	to l	1,			1-9	
						•		•
ı		•			,	:		
						;		
considered to be a safier document filing date "L" document which is cited to citation or other s. "O" document referring the means. "P" document publish later than the prioritism of the means.	the general state of the principal particular retevance but published on or after the publication of the publication pecial reason las specified to an oral disclosure, under prior to the international principal programments of the international programment of the international particular particular particular particular programments of the international particular particu	the international only claim(s) or date of another d) se. exhibition or	priori unde "X" docu be co inven "Y" doc is co comb	ly date arestand the ment of possidered invested	e principle ( articular rel novel or ( articular rel novel or (	nilict with the property with the property with the cannot be evance; the an inventive is to a para	he applic nderlying claimed consider claimed step wher such C ion skiller	nai filing date atten but cited the invention canned to involve a invention cannen the documents. Suddin the art
IV. CERTIFICATION					M. 1 . 1	No 1 0	ah Paga	<del></del>
	pletion of the international	i Search	· Date of M	ailing of	tnia interna	tional Sear	en Kepor	•
		001	T	27	1000	127	06	881
	88 (10. 06.	88)			1988		06.	88)
	88 (10. 06.	88)			1988 orized Office		06.	88)